



Board Assurance Framework (BAF), Risk Register (RR) and Issues Log (IL)

Rotherham Place Committee

15 April 2026

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This report provides assurance against the following risk(s) on the ICB’s Board Assurance Framework, Risk Register or Issues Log:	<p>The paper provides overview of BAF Risks, Corporate Risks and Issues relevant to Rotherham Place, as follows:</p> <p>BAF (in which Place Committees provide Principal Oversight)</p> <ul style="list-style-type: none"> 1.1.1 – R 1.3 – R 1.6.1 – CYP Alliance 1.6.2.1 1.6.2.2 1.6.3 1.7 1.8 – R 2.1 2.7.1 – R 2.12 – R 3.9 3.10 4.9 – R 4.11 – R <p>Risk Register:</p> <ul style="list-style-type: none"> SY016 SY021 SY040 - R SY042 - R SY044 - R SY061 SY066 SY082 – System SY107 – R SY113 SY124 SY136 SY140 <p>Issues –</p> <ul style="list-style-type: none"> IL07 – R IL09 II17 <p><i>The latest versions of the ICB’s BAF, risk register and issues log can be found here ICB Risk Registers.</i></p>

[\(sharepoint.com\)](#) or contact syicb-sheffield.riskmanagement@nhs.net – please refer to these each time you draft a report as the wording of risks could change in-year.

Purpose of Paper

For members to have oversight of the current Risk Management Framework including Board Assurance Framework, Risk Register and Issues Log, following exception reporting on the 2 April 2026 as part of the Rotherham Place Executive Team (PET) agenda.

Key Issues / Points to Note

1. Executive Summary

The current BAF, RR and IL is a standing agenda item on the Rotherham Place Committee agenda at each meeting, following presentation at Rotherham PET. A deep dive is conducted on a quarterly basis at PET, with exception reporting occurring between each deep dive. Risks can be added, amended, or deleted at any time by contacting a member of the risk management team, it doesn't have to wait for a meeting.

Emerging Risks

The risk which had been proposed for inclusion on the Corporate Risk Register, which relates to inaccurate baseline data, has been included. However, it had been recognised this is an active problem, and has therefore been included on the Corporate Issues Log (IL25). A score of 12 has been applied, with assurance provided by the Programme Oversight and Support Meeting and Oversight provided by the System Quality Group.

Five new risks have been escalated to the Corporate Risk Register (SY145 – SY149) relating to People, at the request of the Transition Committee – each of these have a score of 16, with the Chief Executive as Lead Risk Owner. These can be viewed via the live digital system.

A risk has been proposed in relation to Health Protection response to incidents and outbreaks, This is currently in the process of being reviewed by the Risk Management team and Lead Risk Owner.

BAF, RR and IL Review

A review of all current open Board Assurance Framework (BAF) risks, corporate risks and issues is being undertaken to support alignment with the proposed strategic commissioning organisation. Lead Risk Owners have been asked to confirm whether risks should close or remain open, and to validate the appropriateness of current risk scores where risks are proposed to continue. Particular focus is being given to place-level risks, including consideration of future assurance and oversight arrangements in the context of proposed governance changes. The outcome of this review will support organisational readiness, assurance and effective risk positioning once future structures are confirmed, with final decisions confirmed by Lead Risk Owners in line with the Risk Management Framework.

Reporting Development

The Risk report has been reviewed for each of the ICB subcommittees, bringing them to an aligned format presenting the specific risks relevant to each Subcommittee. An overview of Rotherham Place risks that score 12 and above are available in Appendix A. The full Risk profile for Rotherham is available as follows:

BAF - [ICB Risk Registers - BAF - Rotherham - BAF \(sharepoint.com\)](#)

RISK REGISTER [ICB Risk Registers - Risk Register - Rotherham - CRR \(sharepoint.com\)](#)

ISSUES LOG [ICB Risk Registers - Issues Log - Rotherham - IL \(sharepoint.com\)](#)

System Risk Register

Development is currently paused during the Organisation change process.

Whilst these are live links it is to be acknowledged the cover paper provides the position as of **19 March 2026 at 07:45**

Is your report for Approval / Consideration / Noting

For Consideration - An item of business that requires discussion by the Committee prior to agreement of a formal decision or a general policy steer to the executive officers

Recommendations / Action Required by the Committee

- Members are asked to:
- Review the collated SY ICB Risk Register and Issues Log for Quarter 1;
 - Support the ongoing development of the BAF, Risk Register and Issues log.

Board Assurance Framework

This report provides assurance against the following corporate priorities on the Board Assurance Framework (*place beside all that apply*):

Priority 1 - Improving outcomes in population health and health care.	<input checked="" type="checkbox"/>	Priority 2 - Tackling inequalities in outcomes, experience, and access.	<input checked="" type="checkbox"/>
Priority 3 - Enhancing productivity and value for money.	<input checked="" type="checkbox"/>	Priority 4 - Helping the NHS to support broader social and economic development.	<input checked="" type="checkbox"/>

In addition, this report also provides evidence against the following corporate goals (*place beside all that apply*):

Goal 1 – Inspired Colleagues: To make our organisation a great place to work where everyone belongs and makes a difference	<input checked="" type="checkbox"/>
Goal 2 – Integrated Care: To relentlessly tackle health inequalities and to support people to take charge of their own health and wellbeing.	<input checked="" type="checkbox"/>
Goal 3 – Involved Communities: To work with our communities so their strengths, experiences and needs are at the heart of all decision making.	<input type="checkbox"/>

Are there any Resource Implications (including Financial, Staffing etc)?

There are no financial implications for this paper but notwithstanding some of the risk areas will have financial implications for the ICB.

Have you carried out an Equality Impact Assessment and is it attached?

Not applicable

Have you involved patients, carers and the public in the preparation of the report?

There has been no Patient or public involvement in the development of the BAF, RR or

Issues Log but stakeholders/risk owners are being contacted for conversations about their risk profile and this will continue in a structured way during each cycle of updates.

Appendices

Appendix A – Rotherham BAF Risks, Risks and Issues above 12.

Appendix B – Rotherham BAF Risks, Risks and Issues (spreadsheet)